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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/757,189	01/14/2004	Hubert Holderer	91306

24628  
WELSH & KATZ, LTD  
120 S RIVERSIDE PLAZA  
22ND FLOOR  
CHICAGO, IL 60606



CONFIRMATION NO. 3591

## FORMALITIES LETTER



\*OC000000013165546\*

Date Mailed: 07/07/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/20/2004 BABRAHA1 00000084 10757189

01 FC:1051

130.00 0P

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
  - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1-27 are not in compliance with 37 CFR 1.52(a).
- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings

submitted are not acceptable because:

- The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 1-6.
- More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 1.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

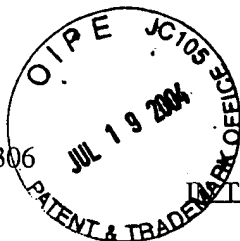
M. NGUYEN

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

91306



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Confirmation No. 3591

Applicant: Holderer et al.

*I hereby certify that this correspondence is  
being deposited with the United Postal  
Service as first class mail in an envelope  
addressed to: Mail Stop MISSING PARTS  
/ Commissioner for Patents / P.O. Box  
1450 / Alexandria, VA, 22313, on  
July 14, 2004*

Serial No.: 10/757,189

Filed: January 14, 2004

For: OPTICAL MEASURING SYSTEM, AND A  
PROJECTION OBJECTIVE

*Gerald T. Shekleton*  
Gerald T. Shekleton Reg. No. 27,466

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith in response to the Notice to File Missing Parts of Nonprovisional Application dated July 7, 2004, are:

- (X) Declaration or Oath for this application.
- ( ) Filing fee for this application.
- (X) Assignment(s) of the invention to Carl Zeiss SMT AG.
- ( ) Verified Statement Claiming Small Entity Status--.
- ( ) Petition for Extension of Time.
- (X) Other: Fee Transmittal in duplicate; Submission of Substitute Specification including substitute specification (28 pages); postcard.
- (X) Checks totaling \$170.00 to cover the application filing fee, surcharge and assignment recordal fee.
- ( ) Charge \$\_\_\_\_\_ to Deposit Account No. 23-0920.
- (x) The Commissioner is hereby authorized to charge any additional fees, which may be required to this application under 37 C.F.R. §1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

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